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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Elaine Lim et al. Confirmation No.: 4203
Serial No.: 10/792,076 Examiner: Unknown
Filing Date: March 2, 2004 Group Art Unit: 3763
Docket No.: 1001.1766101 Customer No.: 28075
For: OCCLUSION BALLOON CATHETER WITH LONGITUDINALLY
EXPANDABLE BALLOON

TRANSMITTAL SHEET

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 7th day of June 2004.

By

David M. Crompton
David M. Crompton

We are transmitting herewith the attached:

- [] Amendment
[] No additional claim fee required
[] The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[] A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

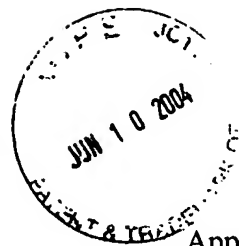
[XX] Other: Information Disclosure Statement and Form PTO-1449.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
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Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



PATENT

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INFORMATION DISCLOSURE STATEMENT

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By

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

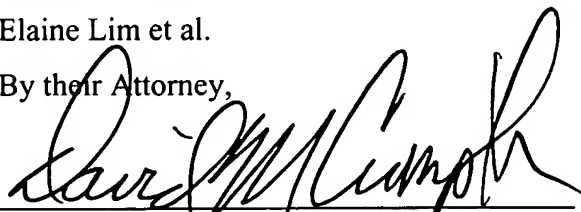
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

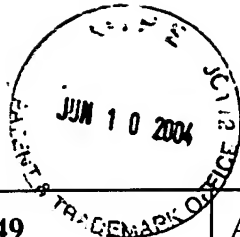
Respectfully submitted,

Elaine Lim et al.

By their Attorney,

Date: 6/7/04


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FORM PTO-1449		Atty. Docket No.: 1001.1766101	Serial No.: 10/792,076
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Elaine Lim et al.	
		Confirmation No.: 4203	
		Filing Date: March 2, 2004	Group Art: 3763

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	6,500,146	12/31/2002	Pinchuk et al.	
AB	6,500,148	12/31/2002	Pinchuk et al.	
AC	6,626,861	09/30/2003	Hart et al.	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.